

## **IN THE CLAIMS**

Please rewrite claims 1, 8, 10, 11, 12, 18 – 21, and 24 – 26, and add claims 27 – 31 as follows. A complete listing of the claims is provided, in accordance with the provisions of 37 CFR 1.121.

1. (currently amended) A system for conducting electronic trading of foreign exchange forwards, the system comprising:

- a central server for tracking currency trades;
- a plurality of trading workstations; and
- at least one remote server interfacing the trading workstations to the central server, wherein the at least one remote server mediates the currency trades between traders using the workstations by consulting pre-set trading configurations associated with each trader, wherein the pre-set trading configurations include at least one temporary restrictions of predetermined duration on a specified trader set by a first trader to put the specified trader set into a penalty box state.

2. (previously amended) The system of claim 1, wherein the pre-set trading configurations correspond to filter settings for at least one filter criterion; and

wherein a first remote server, connected to a first workstation associated with a first trader having associated first filter settings, blocks from view by the

first trader any currency trades from other traders not meeting the at least one filter criterion corresponding to the first filter settings.

3. (previously amended) The system of claim 2, wherein the at least one filter criterion includes credit rating restrictions.

4. (previously amended) The system of claim 2, wherein the at least one filter criterion includes geographic restrictions.

5. (previously amended) The system of claim 2, wherein the at least one filter criterion includes institution-based restrictions.

6. (previously amended) The system of claim 2, wherein the at least one filter criterion includes trade amount restrictions.

7. (currently amended) The system of claim 2, wherein the at least one filter criterion including at least one temporary restrictions is used by the remote server to block the display of trades from the specified trader set from view by the first trader.

8. (currently amended) The system of claim 1, wherein the pre-set trading configurations associated with each trader includes a database listing a

set of traders, stored in a memory of the remote server, with which the first trader is willing to trade; and

wherein the remote server, responsive to a selection by the first trader of a subset of the set listing of traders, conveys a request-for-quote transmission from the first trader to the selected subset of traders.

9. (original) The system of claim 1, wherein at least one trading workstation is associated with a telephone for providing voice communications between a trader associated therewith and other entities having telephone capabilities; and

wherein the trading workstation provides data communications between the trader associated therewith and other entities having data communication capabilities, thereby providing traders on the system with both voice-based and data-based trading functionality.

10. (currently amended) A system for conducting electronic trading of foreign exchange forwards, the system comprising:

a central server for tracking currency trades;

a plurality of trading workstations organized in a plurality of groups for conducting electronic data-based trading, with a portion of the trading workstations associated with respective telephones for conducting voice-based trading;

a plurality of remote servers, each respective remote server being associated with a respective group of trading workstations and interfacing the respective group of trading workstations to the central server, wherein the remote servers mediate the currency trades between traders using the workstations by consulting pre-set trading configurations associated with each trader corresponding to filter settings for at least one filter criterion, which includes at least one temporary restrictions of predetermined duration on a specified trader set by a first trader to put the specified trader set into a penalty box state relative to the first trader; and

wherein a first remote server, connected to a first workstation associated with a first trader having associated first filter settings, blocks from view by the first trader any currency trades from other traders, including the specified trader set in the penalty box state, not meeting the at least one filter criterion corresponding to the first filter settings.

11. (currently amended) A method for conducting electronic trading of foreign exchange forwards, the method comprising ~~the steps of~~:

receiving currency trades for foreign exchange forwards from traders using a plurality of trading workstations;

receiving pre-set trading configurations from a first trader associated with a specified trader to place the specified trader into a penalty box state relative to the first trader;

tracking the currency trades in a central server; and  
mediating the currency trades between traders using at least one remote  
server, including the steps of:  
interfacing the workstations of respective traders to the central  
server;  
consulting pre-set trading configurations associated with each  
trader; and  
controlling the distribution of trading information between traders to  
conduct the currency trades, including the step of:  
temporary temporarily blocking and restricting from the view  
of the first trader for a predetermined duration any trading information from the  
specified trader put into a penalty box state.

12. (currently amended) The method of claim 11, wherein the pre-set  
trading configurations correspond to filter settings for at least one filter criterion;  
and

wherein the step of mediating includes the steps of:  
evaluating trades to and from a first workstation utilizing the first  
filter settings at a first remote server connected to the first workstation associated  
with a first trader having associated first filter settings; and  
blocking from view by the first trader any currency trades from other  
traders not meeting the at least one filter criterion corresponding to the first filter

settings.

13. (previously amended) The method of claim 12, wherein the at least one filter criterion includes credit rating restrictions.

14. (previously amended) The method of claim 12, wherein the at least one filter criterion includes geographic restrictions.

15. (previously amended) The method of claim 12, wherein the at least one filter criterion includes institution-based restrictions.

16. (previously amended) The method of claim 12, wherein the at least one filter criterion includes trade amount restrictions.

17. (previously amended) The method of claim 12, wherein the at least one filter criterion includes temporary restrictions on the specified trader specified by the first trader for implementing the penalty box state of the specified trader.

18. (currently amended) The method of claim 11, wherein the pre-set trading configurations associated with each trader includes a database listing a set of traders, stored in a memory of the remote server, with which the first trader

is willing to trade; and

wherein the ~~step of~~ mediating at the remote server includes ~~the steps of~~:

receiving at the remote server selections by the first trader of a  
subset of the set listing of traders; and

conveying a request-for-quote transmission from the first trader to  
the selected subset of traders.

19. (currently amended) The method of claim 11, further comprising ~~the steps of~~:

providing telephones respectively associated with a portion of the  
workstations for conducting voice communications between a trader associated  
therewith and other entities having telephone capabilities; and

providing data communications between the workstations and the traders  
associated therewith and other entities having data communication capabilities,  
thereby providing traders on the system with both voice-based and data-based  
trading functionality.

20. (currently amended) The method of claim 11, further comprising ~~the steps of~~:

executing graphic user interfaces (GUIs) at each workstation for receiving  
inputs and for displaying trading information to the traders using the  
workstations; and

providing a plurality of specialized GUI screens for facilitating the electronic trading of foreign exchange forwards, including ~~the step of~~:

displaying a first GUI screen for receiving trader inputs to set the respective trading configurations associated with each trader.

21. (currently amended) A system for conducting electronic trading of foreign exchange forwards, the system comprising:

a central server for tracking currency trades;

a plurality of trading workstations; and

at least one remote server interfacing the trading workstations to the central server, wherein the at least one remote server mediates the currency trades between traders using the workstations by consulting filter settings for at least one filter criterion associated with each trader; and

wherein a first remote server, connected to a first workstation associated with a first trader having associated first filter settings, blocks from view by the first trader any currency trades from other traders not meeting the at least one filter criterion corresponding to the first filter settings, wherein the pre-set trading configurations include at least one temporary restrictions of predetermined duration on a specified trader set by a first trader to put the specified trader set into a penalty box state.

22. (previously amended) The system of claim 21, wherein the at least



one filter criterion includes credit rating restrictions.

23. (previously amended) The system of claim 21, wherein the at least one filter criterion includes credit geographic restrictions.

24. (currently amended) A system for conducting electronic trading of foreign exchange forwards, the system comprising:

- a central server for tracking currency trades;
- a plurality of trading workstations; and
- at least one remote server interfacing the trading workstations to the central server, wherein the at least one remote server mediates the currency trades between traders using the workstations by consulting at least one filter criterion which includes at least one temporary restrictions of predetermined duration on a specified trader specified by a first trader to put the specified trader into a penalty box state.

25. (currently amended) A system for conducting electronic trading of foreign exchange forwards, the system comprising:

- a central server for tracking currency trades;
- a plurality of trading workstations; and
- at least one remote server interfacing the trading workstations to the central server, wherein the at least one remote server mediates the currency

trades between traders using the workstations using a database listing a set of traders, stored in a memory of the remote server, with which a first trader is willing to trade, and wherein the remote server uses pre-set trading configurations including at least one temporary restriction of predetermined duration on a specified trader set by a first trader to put the specified trader set into a penalty box state; and

wherein the remote server, responsive to a selection by the first trader of a subset of the set of traders, conveys a request-for-quote transmission from the first trader to the selected subset of traders.

26. (currently amended) A system for conducting electronic trading of foreign exchange forwards, the system comprising:

a central server for tracking currency trades;

a plurality of trading workstations; and

at least one remote server interfacing the trading workstations to the central server, wherein the at least one remote server mediates the currency trades between traders using the workstations using pre-set trading configurations including at least one temporary restriction of predetermined duration on a specified trader set by a first trader to put the specified trader set into a penalty box state;

wherein at least one trading workstation is associated with a telephone for providing voice communications between a trader associated

therewith and other entities having telephone capabilities; and

wherein the trading workstation provides data communications between the trader associated therewith and other entities having data communication capabilities, thereby providing traders on the system with both voice-based and data-based trading functionality.

27. (new) The system of claim 1, wherein the specified trader set comprises a single trader.

28. (new) The system of claim 10, wherein the specified trader set comprises a single trader.

29. (new) The system of claim 21, wherein the specified trader set comprises a single trader.

30. (new) The system of claim 25, wherein the specified trader set comprises a single trader.

31. (new) The system of claim 26, wherein the specified trader set comprises a single trader.